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MAIL STOP - PCT
Docket No. 27646U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:	PALMER	Art Unit:	XX
Appl. No.:	10/592,947	Examiner:	XX
Filing Date:	September 15, 2006	Confirm. No.:	XX
Intl. Appl. No.:	PCT/EP2005/051269		
Intl. Filing Date:	March 18, 2005		

Title: **7,8,9,10-TETRAHYDRO-IMIDAZO[2,1-A]ISOCHINOLINES**

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

1. Submission of Documents to Supplement Filing Documents under 35 USC 371;
2. PCT/IB/373 (International Preliminary Report on Patentability); and
3. PCT/ISA/237 (Written Opinion of the International Searching Authority).

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account Number 14-0112.

Respectfully submitted,

THE NATH LAW GROUP

February 15, 2007

Gary M. Nath, Reg. No. 26,965
Sheldon M. McGee, Reg. No. 50,454
Customer No. 34375

THE NATH LAW GROUP
112 South West Street
Alexandria, VA 22314

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Title: **7,8,9,10-TETRAHYDRO-IMIDAZO[2,1-A]ISOCHINOLINES**

SUBMISSION OF DOCUMENTS TO SUPPLEMENT FILING DOCUMENTS UNDER 35 USC 371

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In order to supplement the filing documents for the national phase filing Under USC 371 commenced on September 15, 2006, applicant now submits the following documents:

1. PCT/IB/373 (International Preliminary Report on Patentability); and
2. PCT/ISA/237 (Written Opinion of the International Searching Authority).

Please charge any deficiency or credit any overpayment to our Deposit Account Number 14-0112.

Respectfully submitted,

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PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY
(Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44*bis*)

Applicant's or agent's file reference 1243WOORD01	**FOR FURTHER ACTION**	See item 4 below
International application No. PCT/EP2005/051269	International filing date *(day/month/year)* 18 March 2005 (18.03.2005)	Priority date *(day/month/year)* 22 March 2004 (22.03.2004)
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237		
Applicant ALTANA PHARMA AG		

1. This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 *bis*.1(a).

2. This REPORT consists of a total of 9 sheets, including this cover sheet.

   In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.

3. This report contains indications relating to the following items:

☒	Box No. I	Basis of the report
☐	Box No. II	Priority
☒	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
☐	Box No. IV	Lack of unity of invention
☒	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
☐	Box No. VI	Certain documents cited
☐	Box No. VII	Certain defects in the international application
☐	Box No. VIII	Certain observations on the international application

4. The International Bureau will communicate this report to designated Offices in accordance with Rules 44*bis*.3(c) and 93*bis*.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44*bis* .2).

	Date of issuance of this report 26 September 2006 (26.09.2006)
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No. +41 22 338 82 70	Authorized officer Yolaine Cussac e-mail: pt11@wipo.int

Form PCT/IB/373 (January 2004)

PATENT COOPERATION TREATY

From the
INTERNATIONAL SEARCHING AUTHORITY

PCT

REC'D 02 SEP 2005
WIPO PCT

To:

see form PCT/ISA/220

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY
(PCT Rule 43*bis*.1)

Date of mailing *(day/month/year)* see form PCT/ISA/210 (second sheet)	
Applicant's or agent's file reference see form PCT/ISA/220	**FOR FURTHER ACTION** See paragraph 2 below
International application No. PCT/EP2005/051269	International filing date *(day/month/year)* 18.03.2005
Priority date *(day/month/year)* 22.03.2004	
International Patent Classification (IPC) or both national classification and IPC C07D471/04, A61K31/4745	
Applicant ALTANA PHARMA AG	

1. This opinion contains indications relating to the following items:

- ☒ Box No. I Basis of the opinion
- ☐ Box No. II Priority
- ☒ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- ☐ Box No. IV Lack of unity of invention
- ☒ Box No. V Reasoned statement under Rule 43*bis*.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- ☐ Box No. VI Certain documents cited
- ☐ Box No. VII Certain defects in the international application
- ☐ Box No. VIII Certain observations on the international application

2. **FURTHER ACTION**

If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA"). However, this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notifed the International Bureau under Rule 66.1*bis*(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of three months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

3. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA:

European Patent Office
D-80298 Munich
Tel. +49 89 2399 - 0 Tx: 523656 epmu d
Fax: +49 89 2399 - 4465

Authorized Officer

Fink, D

Telephone No. +49 89 2399-8701

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.
PCT/EP2005/051269

Box No. I Basis of the opinion

1. With regard to the **language**, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

   ☐ This opinion has been established on the basis of a translation from the original language into the following language , which is the language of a translation furnished for the purposes of international search (under Rules 12.3 and 23.1(b)).

2. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:

   a. type of material:

   ☐ a sequence listing

   ☐ table(s) related to the sequence listing

   b. format of material:

   ☐ in written format

   ☐ in computer readable form

   c. time of filing/furnishing:

   ☐ contained in the international application as filed.

   ☐ filed together with the international application in computer readable form.

   ☐ furnished subsequently to this Authority for the purposes of search.

3. ☐ In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.

4. Additional comments:

Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non obvious), or to be industrially applicable have not been examined in respect of:

☐ the entire international application,

☒ claims Nos. 13 (as regards industrial applicability)

because:

☒ the said international application, or the said claims Nos. 13 relate to the following subject matter which does not require an international preliminary examination *(specify)*:

see separate sheet

☐ the description, claims or drawings *(indicate particular elements below)* or said claims Nos. are so unclear that no meaningful opinion could be formed *(specify)*:

☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.

☐ no international search report has been established for the whole application or for said claims Nos.

☐ the nucleotide and/or amino acid sequence listing does not comply with the standard provided for in Annex C of the Administrative Instructions in that:

the written form	☐	has not been furnished
	☐	does not comply with the standard
the computer readable form	☐	has not been furnished
	☐	does not comply with the standard

☐ the tables related to the nucleotide and/or amino acid sequence listing, if in computer readable form only, do not comply with the technical requirements provided for in Annex C-*bis* of the Administrative Instructions.

☐ See separate sheet for further details

Box No. V Reasoned statement under Rule 43*bis*.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes:	Claims	1-13
	No:	Claims	
Inventive step (IS)	Yes:	Claims	
	No:	Claims	1-13
Industrial applicability (IA)	Yes:	Claims	1-12
	No:	Claims	

2. Citations and explanations

see separate sheet

Re Item III.

The present **claim 13** relates to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT.
Consequently, no opinion will be formulated with respect to industrial applicability of the subject-matter of this claim.

[For the assessment of the aforesaid claim on the question whether it is industrially applicable, no unified criteria exist in the PCT. The patentability can also be dependent upon the formulation of the claims. The EPO, for example, does not recognize as industrially applicable the subject-matter of claims to the use of a compound in medical treatment, but will allow, however, claims to a (known) *compound for* first *use in medical treatment* and the *use* of such a compound *for the manufacture of a medicament* for a new medical treatment.]

Re Item V.

The following documents (D) are considered to be relevant:

D1: ..... WO-A-03/014123 (20 February 2003);
D2: ..... US-A-4468400 (28 August 1984);
D3: ..... *Journal of Medicinal Chemistry* **40**(4), 427-436 (1997);

1. NOVELTY (Article 33(2) PCT):

The present application satisfies the criterion set forth in Article 33(2) PCT because the subject-matter of **claims 1-13** is new in respect of prior art as defined in the regulations (Rule 64(1)-(3) PCT):

The compounds of the present independent **claim 1** differ from the compounds of the prior art **D1** (cf., claim 1 therein) in that they are 7,8,9,10-tetrahydro-imidazo[2,1-a]***isoquinolines*** rather than ***7H***-8,9-dihydro-***pyrano[2,3-c]***imidazo***[1,2-a]pyridines*** or 7,8,9,10-tetrahydro-imidazo***[1,2-h][1,7]naphthiridines***, respectively (cf., the definition of the group X (= ***-O-*** or ***-NH-***) in claim 1 of **D1** and the corresponding present ***-CH_2-*** group).

They are further novel over the compounds of **D2** and **D3** (cf., claim 1 of **D2**; and the compounds of table 1 of **D3**) on account of the present substituent group ***R3*** (cf., the present 6-***hydroxyalkyl*** and ***6-carboxylic acid*** derivatives, the definition of the group ***X*** of **D2**, and the ***6-unsubstituted*** derivatives of **D3**).

2. INVENTIVE STEP (Article 33(3) PCT):

The present application does not satisfy the criterion set forth in Article 33(3) PCT because the subject-matter of **claims 1-13** does not appear to involve an inventive step (Rule 65(1)(2) PCT):

Document **D1** - which represents the **closest prior art** - teaches (cf. claim 1 therein) i.a.

the *gastric acid secretion inhibitory* activity of some 7H-8,9-dihydro-*pyrano[2,3-c]-imidazo[1,2-a]pyridine* derivatives (see, for instance, the compound 2,3-Dimethyl-9-phenyl-7H-8,9-dihydro-*pyrano[2,3-c]imidazo[1,2-a]pyridine*-6-carboxylic acid dimethylamid of the example 3 on pages 13-14 of **D1**).

The correspondingly substituted derivative according to the present **claims 1-11** (see, the compound 2,3-Dimethyl-9-phenyl-7,8,9,10-tetrahydro-*imidazo[2,1-a]isoquinoline*-6-carboxylic acid dimethylamid of the present example 3) **differs** from the aforesaid **D1** compound essentially only in that it has a "central" 7,8,9,10-tetrahydro-*imidazo[2,1-a]-isoquinoline* ring.

In the light of the prior art **D1** the **problem** to be solved by the present application has to be seen in the provision of further *gastric acid secretion inhibitors*.

This problem appears to be **solved** by the compounds of the present **claim 1** (cf., the table A on page 41 of the present description).

This solution cannot however be considered to involve an inventive step (Article 33(3) PCT) for the following reasons:
On consulting the prior art **D2** (cf., claim 1 therein; and, in particular, the correspondingly substituted compounds of column 3, lines 14-25 and column 3, line 39 - column 4, line 10), the person skilled in the art would have **known** that 9-phenyl-7H-8,9-dihydro-*pyrano[2,3-c]-imidazo[1,2-a]pyridine* derivatives (cf., column 3, lines 14-25) ***as well as*** 9-phenyl-7,8,9,10-tetrahydro-*imidazo[2,1-a]-isoquinoline* derivatives (cf., column 3, line 39 - column 4, line 10) possess *gastric acid secretion inhibitory* activity (cf., column 6, line 52).
Hence, he would have expected that the accordingly modified compounds of **D1** (cf., the compound of the example 3 of **D1** and the 2,3-Dimethyl-9-phenyl-7,8,9,10-tetra-hydro-*imidazo[2,1-a]isoquinoline*- 6-carboxylic acid dimethylamid of the present example 3)

would also display (some) *gastric acid secretion inhibitory* activity.

It is therefore considered that - in the absence of any **unexpected / surprising effect** - the present solution (i.e., the compounds of the present **claims 1-11**) has to be regarded to be **obvious** in the light of the prior art **D1** and **D2**.

Consequently, it is considered that the subject-matter of the present **claims 1-13** does **not** involve an inventive step as set forth in Article 33(3) PCT.

3. INDUSTRIAL APPLICABILITY (Article 33(4) PCT):

The subject-matter of the present **claims 1-12** concerns chemical compounds and a pharmaceutical composition and is therefore considered to be industrial applicable in the sense of Article 33(4) PCT.